

Parent-Child Assistance Program (PCAP)

FETAL ALCOHOL & DRUG UNIT, BOX 359112
UNIVERSITY OF WASHINGTON SCHOOL OF MEDICINE
SEATTLE, WASHINGTON 98195 (206) 543-7155

PCAP Fidelity Assessment

Overview:

The Parent Child Assistance Program (PCAP) Fidelity Assessment is a quality assurance tool that helps to assess the degree of adherence of the PCAP model in a new agency or community context. The tool reviews the core characteristics of the PCAP model and provides information on how well the local agency or community is implementing PCAP. The PCAP Fidelity Assessment can be used in several ways:

- To review the core characteristics necessary in the planning and implementation phases of developing a PCAP replication site.
- To help inform agencies on the extent their PCAP program meets the original, evidence-based model. Using this information, the agency and community partners can make changes and track improvements over time.
- To help outside or formal evaluators understand the model elements for PCAP.

In general, the information provided on the PCAP Fidelity Assessment can be used to improve implementation of community-based services for children and families.

PCAP Fidelity Assessment (8.5X11)

Instructions:

The PCAP Fidelity Assessment is organized into ten components, with two to seven characteristics per component. Each characteristic has one “anchor” statement that describes what **the ideal replication of the PCAP model** would look like relevant to that characteristic, and another anchor statement that describes what **a poor replication of the PCAP model, or a model at the beginning of its implementation/installation** might look like related to that characteristic.

For *each characteristic*, consider the elements of the PCAP site in question relevant to that characteristic. Next, select a rating on the five-point scale [5, 4, 3, 2, 1] that is provided.

- A **score of 5 is the highest** and indicates a PCAP site fully meets the characteristics of **ideal replication of the PCAP model**. In other words, the site shows the faithful replication of PCAP.
- A 4 means a PCAP site is **fairly close** to meeting the characteristics of a faithful replication of the PCAP model, but the site cannot be ranked as 100% faithful to the characteristic.
- A 3 means **midway between the two extremes**, indicating that you believe your site is about midway between being “fully faithful” and not meeting the PCAP model core characteristic
- A 2 means there is a **small amount of similarity** between the site and the PCAP model characteristic, but that your site still only minimally matches with the PCAP model characteristic.
- On the other end of the scale, **a score of 1 is the lowest** and would indicate a PCAP site does not meet the core characteristics of the PCAP model.
- ‘DK’ stands for ‘don’t know’ and indicates the characteristic cannot be scored for your site.

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Characteristics of person filling out PCAP Fidelity Assessment

How many total years have you been involved in PCAP?

- Less than one year*
- One to less than two years*
- Two to less than four years*
- Four to less than seven years*
- Seven to less than ten years*
- Ten to less than 12 years*
- 12 to less than 15 years*
- 15 years or more*


What is the location of your PCAP site (city/State): _____

Describe your primary role within the PCAP:


- Case manager or client advocate*
- Clinical Supervisor*
- PCAP Host Agency Director/Supervisor*
- Office Assistant*
- Other (Please specify)*

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Component 1: Client Characteristics

Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
1.1 Client eligibility	Referrals meet all 3 eligibility criteria to be enrolled in PCAP.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Referrals do not have to meet the 3 eligibility criteria to be enrolled in PCAP.	
1.2 Client enrollment	Clients give signed consent to participate.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Clients do not give signed consent to participate.	
1.3 Relapse or set backs among clients	Clients are not asked to leave the program because of relapse of set backs.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Clients are asked to leave the program because of relapse or set backs.	

Component 2: Client Intervention Setting


Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
2.1 Structure of relationship with clients	Case managers work with clients one-to-one.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers <i>do not</i> work with clients one-on-one.	
2.2 Program length	Case managers work with clients for three years beginning at enrollment.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers work with clients for <i>less than</i> three years.	
2.3 Frequency of interaction with clients	Case managers meet with clients face to face a minimum of twice monthly.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers visit with clients face to face <i>less than</i> twice monthly.	
2.4 Case Manager – Client Ratio	Case managers work with 16 active clients or less.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers work with <i>more than</i> 16 active clients.	
2.5 Case manager accompaniment	Case managers regularly accompany clients to service provider agencies <u>and</u> case consultations.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers <i>do not</i> accompany clients to service provider agencies <u>or</u> case consultations.	

Component 3: Characteristics of Staff


Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
3.1 Case Manager professional qualifications	Case managers have a minimum of a BA/BS degree and two years of community based experience working with high-risk populations.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers do not have a minimum of a two year degree <u>or</u> have less than 4 years of community based experience working with high-risk populations.	
3.2 Case Manager personal background	Case managers have experienced similar life circumstances as clients, have surmounted these difficulties, and demonstrate continued success.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers do not have similar life circumstances as clients.	
3.3 Clinical Supervisor professional qualifications	Supervisors have a minimum of a BA degree in a mental health or social work field, have a minimum of four years of experience working with high risk populations, <u>and</u> administrative and supervisory experience.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Supervisors do not have a minimum of a BA degree in related field, have <4 years of experience work with high risk populations, <u>or</u> have no administrative and supervisory experience.	

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Component 4: Training

Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
4.1 Initial staff training & orientation	Case managers and supervisors complete PCAP Intervention and Evaluation Training requirements.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers and supervisors do not complete the PCAP Intervention and Evaluation Training requirements.	
4.2 Motivational Interviewing	Case managers and supervisors complete training on motivational interviewing.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers and supervisors do not complete training on motivational interviewing.	
4.3 Ongoing training	Case managers and supervisors regularly participate in ongoing trainings on topics relevant to work with high risk populations as available.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers and supervisors do not regularly participate in ongoing trainings on topics relevant to work with high risk populations as available.	


Component 5: Conducting the Intervention

Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
5.1 Theoretical Practice—Relationship Theory	Case managers and supervisors understand the concepts of Relationship Theory <u>and</u> use it in daily practice with clients.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers and supervisors <i>do not</i> understand the concepts of Relationship Theory <u>or</u> use it in daily practice with clients.	
5.2 Theoretical Practice—Stages of Change & Motivational Interviewing	Case managers and supervisors understand the concepts of Stages of Change and Motivational Interviewing; <u>and</u> use it in daily practice with clients.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers and supervisors <i>do not</i> understand the concepts of Stages of Change and Motivational Interviewing; <u>or</u> use it in daily practice with clients.	
5.3 Theoretical Practice—Harm Reduction	Case managers and supervisors understand the concepts of Harm Reduction; <u>and</u> use it in daily practice with clients.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers and supervisors <i>do not</i> understand the concepts of Harm Reduction; <u>or</u> use it in daily practice with clients.	


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Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
5.4 Core protocols on boundaries and standards	Staff are familiar with <u>and</u> understand the PCAP protocols on boundaries and standards.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Staff <i>are not</i> familiar with <u>or</u> understand the PCAP protocols on boundaries and standards.	
5.5 Core protocols on boundaries and standards	Staff follow the identified PCAP protocols on boundaries and standards.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Staff <i>do not</i> follow identified PCAP protocols on boundaries and standards.	
5.6 Continual assessment of client strengths and problems	Case managers and supervisors conduct initial and ongoing comprehensive assessments to determine client strengths and problem areas.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Comprehensive assessments of client strengths and problem areas <i>are not</i> conducted at baseline <u>or</u> <i>are not</i> conducted on an ongoing basis.	
5.7 Interactive assessment and planning of client goals	Case managers work continually with clients to identify individual goals and incremental steps required to meet those goals	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers <i>do not</i> use goal setting techniques with clients.	


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Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
5.8 Continuity between program goals and client goals	Case managers coordinate program goals with client goals to create individualized intervention plans for each client.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Client intervention plans <i>are not</i> individualized.	
5.9 Inclusion of client social and family network	Case managers develop a network of contacts with family and friends involved in a client's life.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers <i>do not</i> engage with family and friends involved in the client's life.	
5.10 Case manager operates within a network of service providers	Case managers develop and maintain professional relationships with community service providers and help clients utilize appropriate and available services.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers <i>do not</i> help clients access wider network of available services.	
5.11 Integrity of Client File	Case managers maintain a client file according to PCAP client file protocols.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Case managers <i>do not</i> maintain client files according to PCAP client file protocols.	

Component 6: Clinical Supervision to Case Managers


Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
6.1 Supervisor-Case Manager Ratio	Clinical supervisors provide supervision to a maximum of 9 case managers.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Clinical supervisors provide supervision to <i>more than</i> 9 case managers.	
6.2 Quality of relationships between Clinical Supervisors and Case Managers	The relationship between clinical supervisors and case managers elicits from the case manager honest observations and personal responses to interactions with clients.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	All case managers <i>do not</i> share honest observations on clients with supervisors.	
6.3 Frequency of supervision	Clinical supervisors meet individually with each case manager a minimum of every other week.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Clinical supervisors meet with case managers individually <i>less than</i> every other week.	
6.4 Content of supervision	During supervision, clinical supervisors work with case managers to monitor paperwork due, discuss and review each case, assess progress toward goals, determine next steps, and identify accomplishments and challenges.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Clinical supervisors <i>do not do any</i> of the following during supervision: <ul style="list-style-type: none"> • discuss paperwork due; • review each case; or • determine service barriers. 	

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Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
6.5 Accessibility of Clinical Supervisors	Clinical supervisors are accessible to case managers for consultation and may accompany case manager in the field as the need arises.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Clinical supervisors are not accessible to case managers outside of pre-scheduled individual supervision.	
6.6 Frequency of staff meetings	Clinical supervisors facilitate staff meetings on a weekly basis.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Staff meetings occur <i>less than</i> weekly.	
6.7 Content of staff meetings	During staff meetings, case managers offer peer-feedback on selected cases and clinical supervisors periodically invite community service providers for discussion on topics relevant to the service population.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	During staff meetings, selected cases are <i>not</i> discussed and community services providers do <i>not</i> periodically attend.	


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Component 7: Clinical Supervisor in the Community

Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
7.1 Clinical supervisor as intervention gatekeeper	Clinical supervisor oversees the screening of community referrals, determines eligibility, and offers enrollment to potential clients.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Clinical supervisor <i>does not</i> oversee screening of community referrals, determine eligibility, or offer enrollment to potential clients.	
7.2 Community integration of clinical supervisor	The clinical supervisor is involved in the community in order to: identify services available, prevent service barriers by understanding various agency operations, and address service barriers as they arise.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	The clinical supervisor does not have the community knowledge in order to identify services, prevent service barriers, or address service barriers as they arise.	

PCAP Fidelity Assessment (8.5X11)

Component 8: Program Evaluation

Key Characteristics of PCAP Model	Full correspondence to PCAP Model	5 4 3 2 1 	No correspondence to PCAP Model	Comments
8.1 Data collection	All case managers and supervisors collect data in accordance with PCAP protocols.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	All case managers and supervisors <i>do not</i> collect data in accordance with PCAP protocols.	
8.2 Dissemination of evaluation data to staff	PCAP data are distributed to staff (clinical supervisors and case managers), used to improve the quality of intervention practices, and to assess the outcomes among clients.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	Clinical supervisors and case managers do not see PCAP evaluation data.	
8.3 Dissemination of evaluation data to community	Data generated on the PCAP model are conveyed to a wider audience on a regular basis, e.g. presentations to the community and at relevant conferences and meetings, articles in newsletters and peer reviewed publications.	5 4 3 2 1 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> -DK	PCAP evaluation data are not disseminated to a wider audience on a regular basis.	